

# **CTO Processes and Legal Perspectives**

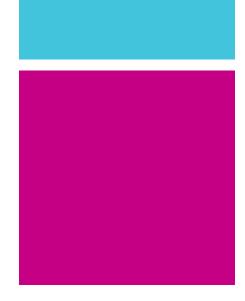
North York General Hospital Grand Rounds January 19, 2018

# Roadmap

- Explore background to and criteria for issuing a CTO
- Review process for issuing a CTO
- Discuss the power of the CTO in recovery and risks
- Introduce CMHA CTO Case Management services



# **Community Supervised Care**



### CTO: What is it?

- An Order that allows a certifiable patient the opportunity to continue their recovery in the community
- It is the least restrictive option for a certifiable patient
- The intent is to allow for a sustained commitment to relapse prevention

### **CTOs: Criteria**

Hospitalization for 30 days in past 3 years

Or

2 hospitalizations in the past 3 years

Or

Has previously been on a CTO

And

Certifiable under MHA



#### **CTOs: Criteria**

- If the patient:
  - Is incapable and SDM consent
  - History of improvement with treatment
  - Will likely not comply with treatment
  - Will likely get sick again
  - Has been admitted for 30 days / twice in past 3 years

#### Then

Meets criteria for CTO



#### **CTO: Nuts and Bolts**

- Contract between treatment team, decision maker
- Contract stipulations:
  - for the next 6 months, client will take medications
  - for the next 6 months, client will follow-up with physician
- If client fails to do one of the above, client can be brought back to hospital
- Lasts 6 months
- Renewable
- Mandatory review every 12 months
- If not renewed, the patient is no longer supervised
- If incapable, a patient does not have to agree



# **The Coercive Framing**

 "if you don't take your meds you will be hospitalized"



#### Is a CTO coercive?

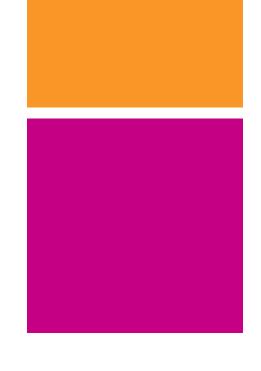
- You are telling the client that they are certifiable under the Mental Health Act
- You are telling the client that, technically, they can be involuntarily hospitalized
- You are telling them that there is a least restrictive opportunity to enhance the clients freedom, and allow them to move forward in their lives and recovery

# **Issuing a CTO**



# **Step 1: Initiation**

 Step 1: Send CTO referral form to CTO Coordinator



# Step 2: Coordinator will ask

- Issuing physician
- Monitoring physician
- Who is consenting?
- Frequency of reporting to physician
- Frequency of reporting to case manager
- Frequency and mechanism of med monitoring: daily, weekly, depot, etc
- Blood level / urine monitoring?

# **Step 3: Legal Forms**

 CTP, Form 49, and Form 45 faxed to you by CTO coordinator



# **Step 4: Assessment**

- Meet with client
- Review CTP with client
- Reassess client's capacity to consent to treatment and CTP (assent is different from consent)
- Assess whether client meets Form 1 criteria



## **Step 5: Documentation**

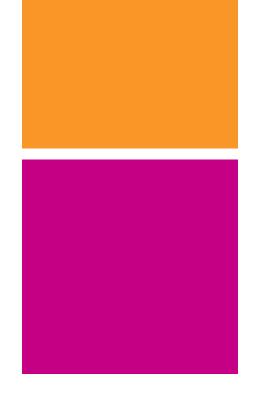
- Form 49: write date you declare patient incapable with respect to CTP (today)
- Sign Form 49
- CTP: sign CTP; offer for client to sign (does not have to if incapable)
  - MUST be signed within 72 hours of assessment
- Fill out pages 1 and 2 of Form 45
  - check off same criteria for certification that was documented when Form 49 was signed (i.e. subst mental det)
  - Document reasoning: "schizophrenia, incapable, SDM consents to treatment, will likely not comply with treatment and suffer substantial mental deterioration, certifiable Form 1, box B, subst mental det."
- Give a copy of CTP and Form 49 to client
- Fax Form 49, CTP, and Form 45 to CTO coordinator

# **Step 5: Documentation (cont.)**

- Document in Chart:
  - "We reviewed the nature of the mental disorder and the foreseeable consequences of taking and not taking X medication
  - We reviewed the CTP
  - Incapable of consenting to Tx X and the CTP
  - Certifiable under MHA, Box B, substantial mental deterioration
  - Form 49 and CTP signed
  - Copy of Form 49 and CTP given to client

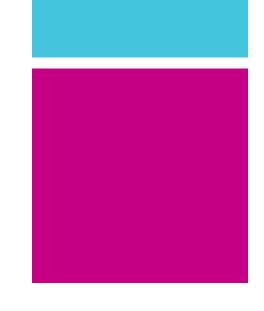
# **Step 6: Rights Advice**

Client +/- SDM get rights advice



# **Step 7: Legal Forms**

 Form 45 (signed by client and/or SDM) and Form 46 faxed to you



# **Step 8: Issuing CTO**

- Sign last page of Form 45 and Form 46
- Fax Form 45, 46 to CTO coordinator



# **Pitfalls**

### **Pitfalls**

- Capable patient criteria
- Missing the renewal date of the CTO and CTO expiring
- Potential novel arguments from counsel
- How long can a patient remain on a CTO and still be likely to mentally deteriorate?
- A CTO is an active process as opposed to a passive process

# **Getting out of a CTO**

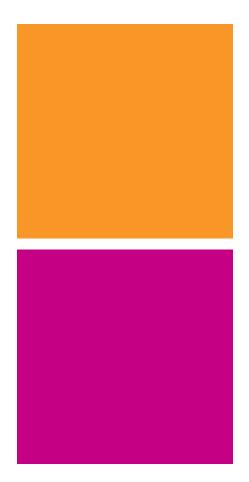
- Leaving the province
- Getting someone to act as POA personal care who does not consent to CTO



# **Options for Supervision**

- Client on a depot requirement to attend clinic q2wks
- Client on oral meds, incl lithium, clozapine can be creative:
  - Supervised meds at home
  - Clinic visits daily
  - Client agrees to take meds on own, and weekly levels done at the same time – if level fluctuates, we know the client if non compliant
  - Include pharmacy for supervision

# Form 47s



### **Form 47**

- Allows a patients on a CTO to be brought in for an assessment if:
  - does not attend appointment
  - does not comply with medication

#### BUT

Must first attempt to assist the patient to comply with the CTO

### **Form 47**

- Brings the patient for assessment
- By definition, patient is certifiable, so Form 1 can be issued
- Options for supervision vary
  - Tight control vs less tight
- Form 47 does not nullify CTO



# Community Treatment Order Program (CTO Case Management)

# History of the CMHA and CAMH Partnership for CTO Services

- Partnership with CAMH and CMHA since 2001:
  - ➤ CAMH to provide CTO Coordinators
  - ➤ CMHA to provide CTO CM Services
- East CTO CM Services 6 Case Managers
  - Addition of 2 RN CM Positions Sept 15 2015 (dedicated to RVHS and TSH)
- West CTO CM Services 5 Case Managers
- 5 CTO Coordinators (CAMH) designated to various hospitals across city of Toronto

# How To Access CTO Case Management (CTO CM)

- CTO Coordinators assist Doctors with CTO Process and CTP is developed
- If CM is identified in the CTP then the CTO Coordinators make a referral to CMHA for CTO CM services
- No Waitlist for CMHA CTO CM Services
- CMHA CTO CM intake assessment completed within 10 days

# Eligibility for CTO CM Services

- Completed Form 45
- The person subject to the CTO has signed the CTP and agreed to our service OR
- SDM has signed the CTP and agreed to our service
- AND...
- The person subject to the CTO agrees to meet with us on a regular basis for the duration of the CTO

# Referrals – CTO CM

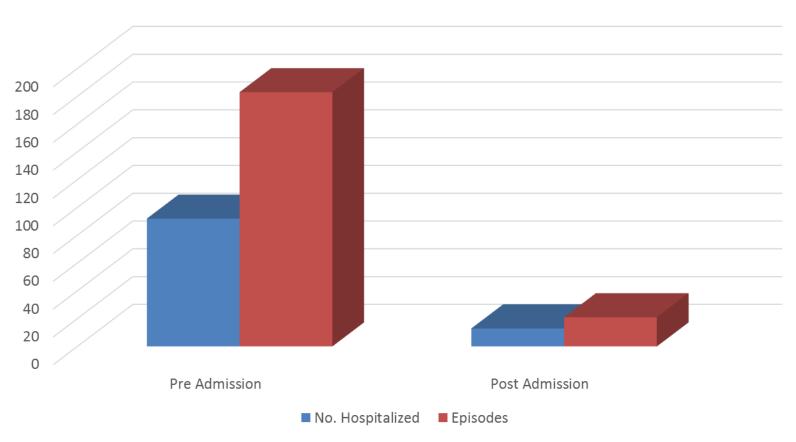
- Mental Health Stable (at Baseline)
- Require Intensive Case Management
  - Weekly meetings sufficient to meet client needs
- Identify Safety Risk so we can safely support individuals in the community
- Work in collaboration with the treatment team (all parties identified in the plan)

# Some Stats: EAST CTO Program

- Total Clients 124
- Pre Admission: 92 had hospitalization history
- Pre Admission: 5,588 hospital days
- Pre Admission: 183
- Post: 13 had hospitalization after involvement in our program
- Post hospitalization: 310
- Post Episodes: 21

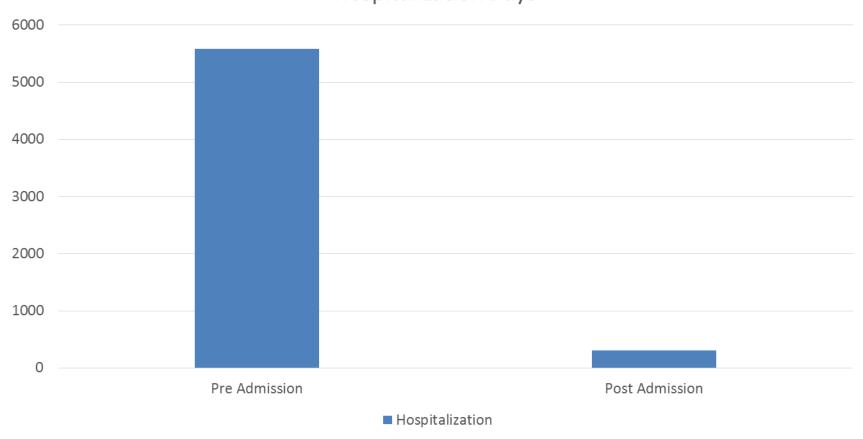
# Data: April 1, 2016 to March 31, 2017

Total number of clients: 124



# Data: April 1, 2016 to March 31, 2017







# **QUESTIONS?**

Vivien Luk, contact info? Maria Boada, contact info?