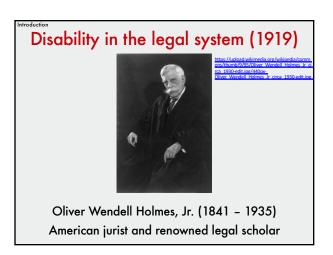


Disclaimers

My (legal) opinions are mine and mine alone

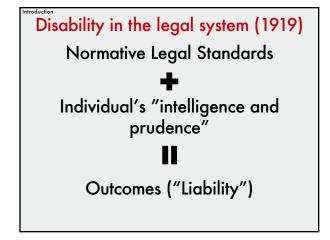
I have no conflicts of interest to report

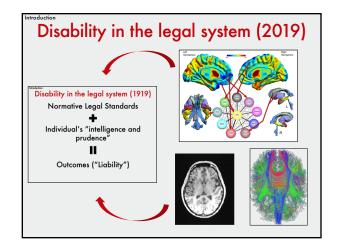


Disability in the legal system (1919)

"The law considers, in other words, what would be blameworthy in the average man, the man of ordinary intelligence and prudence, and determines liability by that. If we fall below the level in those gifts, it is our misfortune"

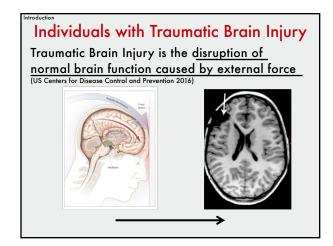
- Oliver Wendell Holmes Jr., The Common Law

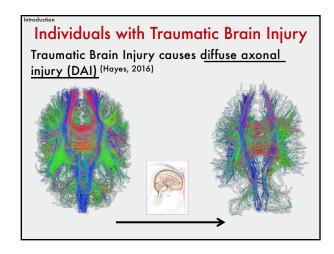


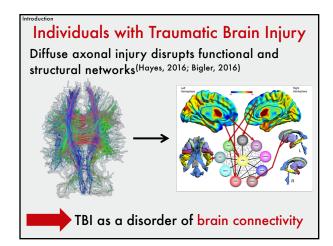












Risk Factors for TBI Populations

Sequelae (cognitive communicative) of TBI:

• Domain-general deficits¹

- working memory

- speed of processing

- executive functioning

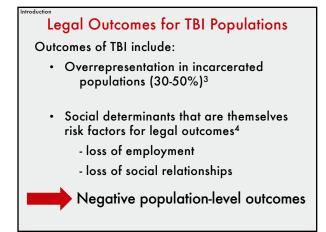
• Social-cognitive deficits²

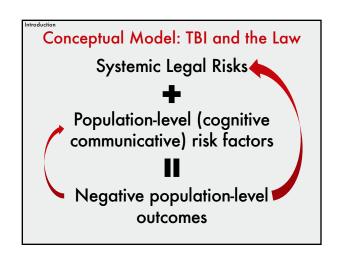
- Pragmatics

- Emotion recognition

- Theory of Mind

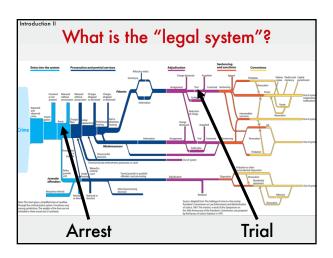
Population-level risk factors

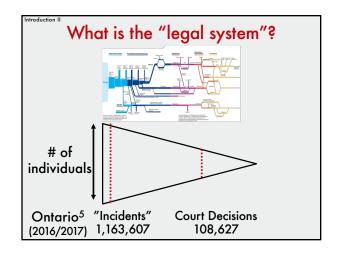


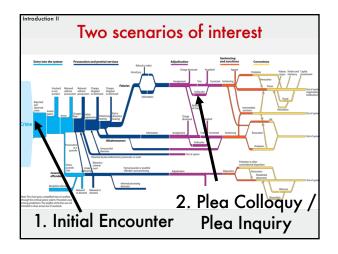


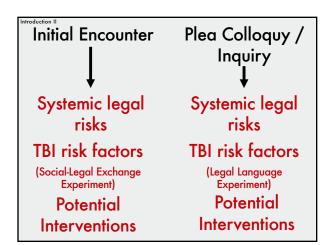
Talk Objective

- Q1 What cognitive communication-based features of the legal system may engender systemic risks?
- Q2 What are the relationships between these systemic risks and individuals with TBI?
- Q3 What are possible interventions to ameliorate these systemic risks?









Four Caveats to keep in mind

Caveat # 1

TBI ≠ Criminality

Caveat # 2

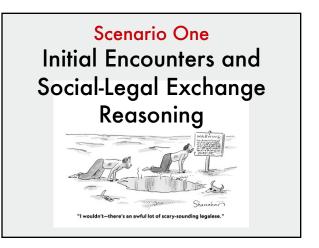
These issues are not limited to criminal law (or to law)

Caveat #3

These issues are not uniquely American

Caveat # 4

There just aren't good solutions to some of these challenges



What is an "initial encounter"?

Any initial interaction between a lay

Any initial interaction between a lay individual and an agent of the legal system (law-enforcement officer, attorney, etc.)

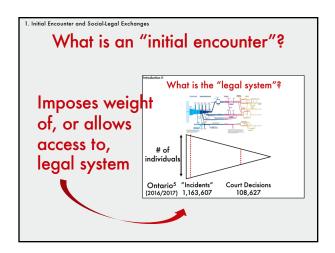


1. Initial Encounter and Social-Legal Exchanges

Agent serves as "gatekeeper" to legal system



Imposes weight of, or allows access to, legal system



What are the (cognitive communicative) systemic risks?

- 1. Legal situations are unusual social scenarios⁶
 - Unique (highly abstract) lexicon
 - Infrequent behavioral exchanges*
 - No real clear parallel to other, morecommon scenarios



Mental representations (situation models) that are cognitively difficult to construct

What are the (cognitive communicative) systemic risks?

- 2. Fluency disparity between lay person and legal-system agent
 - more exposure to tangible representations of abstract, low-frequency concepts
 - expertise facilitates communication (skewed distributed cognition)

Cognitive communication burdens are unevenly distributed within the dyad

What are the (cognitive

communicative) systemic risks?

3. Social-communicative factors have less room

- for error⁷
 need for pragmatics (esp. narrative)
 - -e.g., responding to a law-enforcement officer who asks "Are y'all having a good time tonight?"
 - emotion recognition, non-verbal communication

Additional cognitive burden AND additional imbalance within dyad

1. Initial Encounter and Social-Legal Exchanges

What are the (cognitive communicative) systemic risks?

- 4. Context of Initial Encounter is often suboptimal
 - Individual may be emotional, stressed, etc.
 - Individual may not WANT to be in the initial encounter
 - Both will influence situation models



Context can color communication and/or determine cognitive reserves

1. Initial Encounter and Social-Legal Exchanges

Systemic Risks - Summary

- ✓ Unusual social contexts
- √ Imbalanced communication dynamic
- ✓ Stressful, high-stakes environment



Systemic cognitivecommunicative risks

1. Initial Encounter and Social-Legal Exchanges

Implications for TBI

- Individuals with TBIs have deficits in domain-general cognitive mechanisms
 - working memory8
 - processing speed9
 - executive functioning 10



Cognitively "impoverished" (less "ordinary intelligence"?)

1. Initial Encounter and Social-Legal Exchange

Implications for TBI

- Individuals with TBIs have deficits in social-cognitive aspects of communication
 - Pragmatics 11
 - Emotion Recognition 12
 - Theory of Mind¹³



Poorer social communication (less "ordinary prudence"?)

1. Initial Encounter and Social-Legal Exchanges

Implications for TBI

- 3. Individuals with TBI show impairment in producing narratives 14
 - microlinguistic aspects
 - word choice, sentence-level syntax
 - macrolinguistic aspects
 - local and global coherence, "fluency"



Less able to produce "effective" narratives

1. Initial Encounter and Social-Legal Exchanges

Interaction between Risks and Risk Factors

<u>Risks</u>

Need for specialized mental representations

Imbalanced communicative dynamic

Stressful, high-stakes environment

Risk Factors

Cognitive
"impoverishment";
poorer situation models

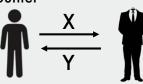
Poorer socialcommunication skills; impaired narratives

Cognitive-communicative impairments; lower baseline for domain-general resources

1. Initial Encounter and Social-Legal Exchanges

One Additional Implication

<u>Transactional behavioral elements</u> of Initial Encounter





Need to consider additional cognitive mechanisms underlying these transactional behaviors 1. Initial Encounter and Social-Legal Exchanges

Social Exchanges

Transactional behaviors in which the one party fulfills a particular requirement ("cost") to receive a particular outcome ("benefit")

Often exist as <u>social rules</u>, conditional formulae that describe and prescribe the transaction

E.g. If you want to receive a favor, you should request it with polite language

1. Initial Encounter and Social-Legal Exchanges

Social-Exchange Reasoning

Humans are good at reasoning through social-exchange rules 15

 evolutionary pressure to detect "cheaters" or non-altruistic behavior
 certain groups with impaired social cognition (e.g., psychopathy) show selective impairment in social-exchange conditional reasoning



Dedicated "social-reasoning" cognitive abilities

1. Initial Encounter and Social-Legal Exchange

Experimental Questions

- Do individuals with TBI show impaired performance on socialexchange reasoning when compared to uninjured comparisons?
- 2. Does the "social-exchange reasoning framework" accommodate social exchanges framed within legal contexts?

1. Initial Encounter and Social-Legal Exchanges

Design

Tested social-exchange reasoning in adults with moderate-to-severe TBI (n = 20) and without TBI (n = 21) using the Wason Task 16 of legal and non-legal social exchanges

L

Outcome measures: accuracy and response time

We hypothesized an effect of group (TBI < COM)

Wszalek, J.A. and Turkstra, L.S. Comprehension of social-legal exchanges in adults with and without traumatic brain injury. Neuropsychology (under review), NEU-2018-2158

1. Initial Encounter and Social-Legal Exchanges

Design - Wason Task

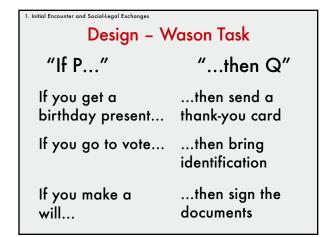
Wason Task = task of logical reasoning of conditional rules

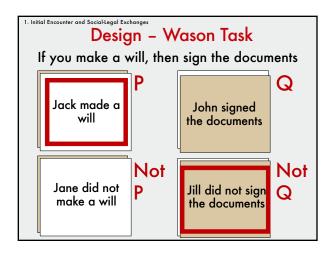
"If P, then Q"

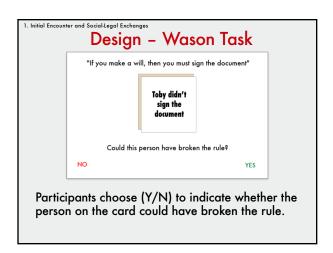
P = "benefit"

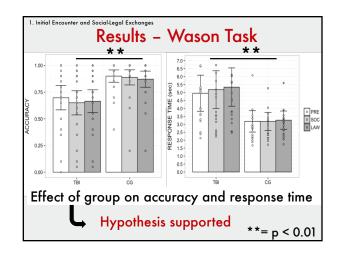
Q = requirement

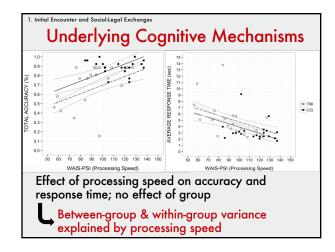
Goal is to find "cheaters"

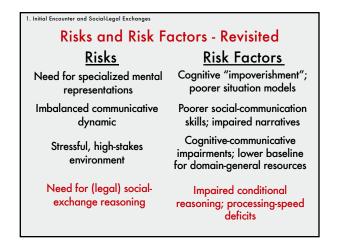


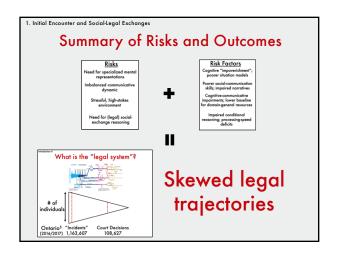


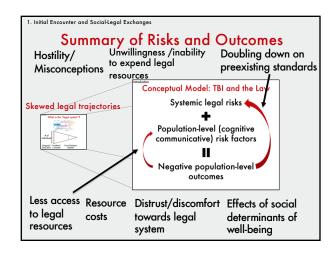












What can you do?

1) Slow down (or otherwise reduce working memory / processing speed demands)

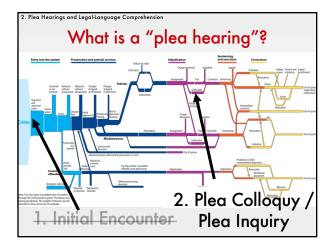
- Control external time pressure whenever possible

2) Help individuals with TBI avoid initial encounters in the first place

- medical-legal partnership models

- preventative law (alter trajectories)







What is a "plea hearing"?

Pleas must be voluntary and understood

USA*

"voluntarily"

"knowingly"

"intelligently"

Knowingly/understandingly of:

1. The factual nature of the allegations

2. The legal consequences of the plea

*Brady v. U.S., 397 US 742 (1970)

**R.S.C., 1985, c. C-46, s. 606

2. Plea Hearings and Legal-Language Comprehension

Why plea hearings?

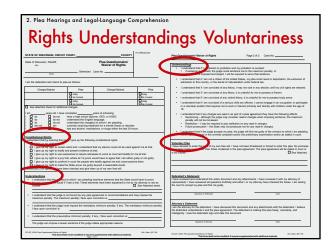
- Stage at which defendant gives up rights and admits legal culpability
- Overwhelmingly the norm
 - USA: 95 97% of charges
 - CA: ~ 95% of cases set for trial

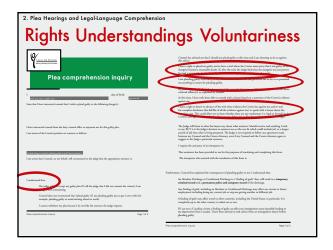


A given defendant is almost certain to undergo a plea hearing & accept legal consequences

How plea hearings?

Courts use plea questionnaires to assess defendants' pleas





Plea Questionnaire Content

USA: I understand that the crime(s) to which I am pleading has/have elements that the State would have to prove beyond a reasonable doubt if I had a trial.

CA: By pleading guilty I admit that I committed the essential elements—or the required parts—of the above criminal offence(s) as explained by counsel.

USA: I have decided to enter this plea of my own free will. I have not been threatened or forced to enter this plea. No promises have been made to me other than those contained in the plea agreement

CA: I am pleading guilty voluntarily, of my own free will, and no one has pressured me to do so or promised me anything in return for pleading guilty.

2. Plea Hearings and Legal-Language Comprehension

2. Plea Hearings and Legal-Language Comprehension

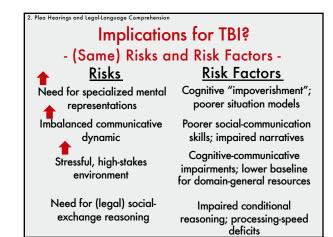
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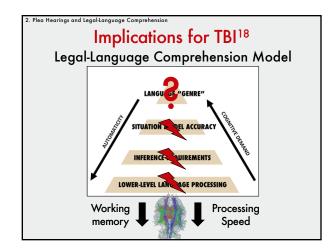
2. Plea Hearings and Legal-Language Comprehension

What are the (cognitive communicative) systemic risks?

1. Legal Language is profoundly difficult¹⁷

- Even "well-known" examples of legal language are difficult to comprehend and difficult to reason with
- All populations (including lawenforcement officers) show misconceptions

Forces comprehension ("factual nature") and manipulation ("legal consequences") of inaccessible language



Plea Hearings and Legal-Language Comprehension

Experimental Questions

- Do individuals with TBI show impaired performance on a task of legal-language comprehension when compared to uninjured comparisons?
- 2. Do working memory and processing speed underlie legal-language comprehension?

2. Plea Hearings and Legal-Language Comprehension

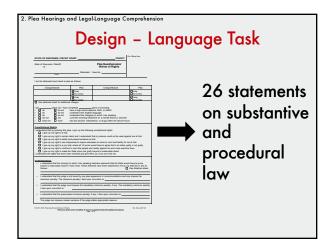
Design

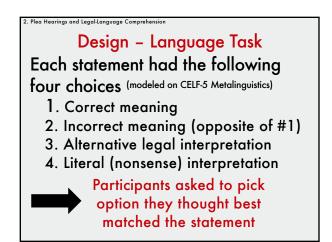
Tested language comprehension in adults with moderate-to-severe TBI (n = 19) and without TBI (n = 21) using the forced multiple-choice assessment of plea-hearing language

Outcome measures: accuracy and response time

We hypothesized an effect of group (TBI < COM)

Wszalek, J.A. and Turkstra, L.S. (2018) Comprehension of legal language by adults with and without traumatic brain injury. J Head Trauma Rehabil. (online before print)





| I understand that if I am convicted of a violent felony, it is unlawful for me to possess body armor.

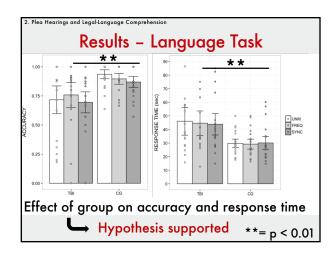
(¥) If I am found guilty of a violent crime, I can't own body armor.

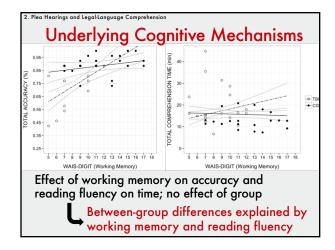
Correct
(Δ) If I am found guilty of a violent crime, I can own body armor.

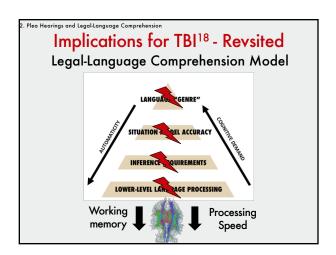
Incorrect
(≈) If I am found guilty of a violent crime, I must register my body armor.

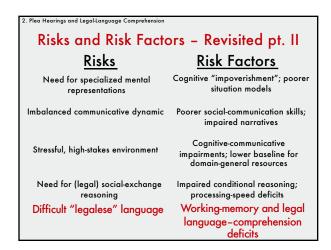
Alternative
(ø) If I am found guilty of a violent crime, I can't take over a piece of body armor.

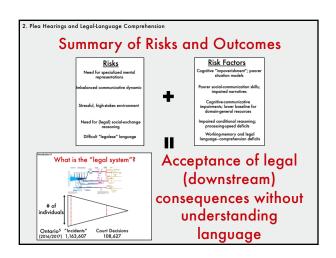
Literal (nonsense)

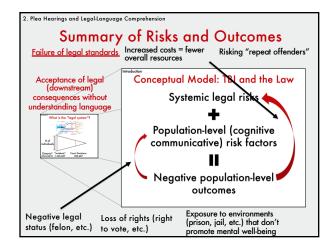












2. Plea Hearings and Legal-Language Comprehension

What can you (clinicians) do?

1A) Perform cognitive communication assessments!

- CELF-5 / CASL (< 21 years)

- WJ / WAIS (> 21 years)

1B) Communicate results to legal actors!

- Patient's lawyer

- Reference letters to court

Legal actors aren't (necessarily)

trained to look for / assess cognitive communication impairments

2. Plea Hearings and Legal Language Comprehension

What can you (legal actors) do?

1) Slow down (or otherwise reduce working memory / language-comprehension demands)

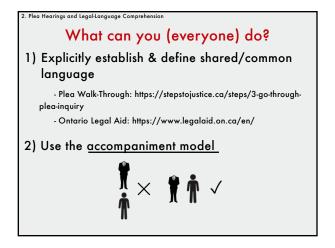
2) Use pictures whenever possible

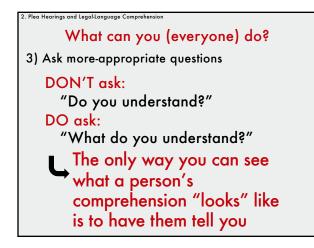
- Illustrated Law resources

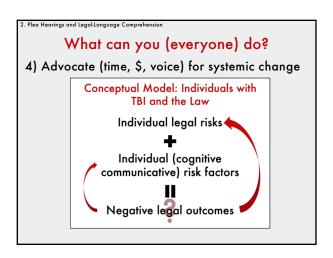
Lawcomic.net http://lawcomic.net/guide/?page_id=5

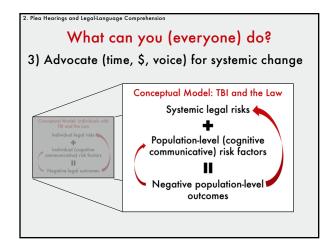
openlawlab http://www.openlawlab.com/project-topics/illustrated-law-visualizations/

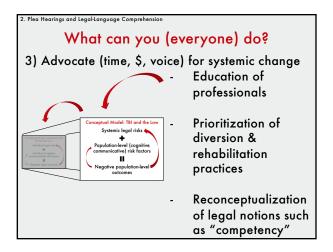
3) If you suspect language/communication problems, get an assessment!



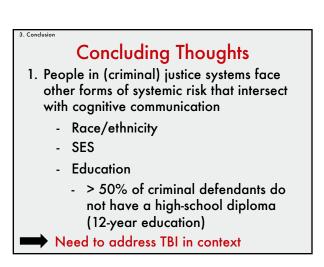








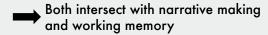
Conclusion Q1 Cognitive communication-based features of the legal system that engender systemic risk Q2 Relationships between systemic risks and individuals with TBI Q3 Possible interventions to ameliorate systemic risks



3. Conclusion

Concluding Thoughts

- 2. There are other aspects of cognitive communication that will affect individuals with TBI
 - suggestibility
 - confabulation



Both are particularly worrisome in the context of the legal system's power dynamics

3. Conclusion

Concluding Thoughts

- 3. My findings indicate that cognitive mechanisms (working memory, processing speed, & reading fluency) explain within- and between-group variance on behaviors highly relevant to legal systems
- Nothing fundamentally "different" about individuals with TBI (TBI ≠ criminality)
 - Interventions that control for this variance should reduce betweengroup differences

Concluding Thoughts

- 4. TBI isn't the only population with communication challenges
 - Individuals with SLI
 - Individuals with developmental disorders
 - Individuals with mental health disorders
 - Individuals with poor education

Interventions to support TBI will support other populations too

Acknowledgements

<u>Legal Collaborators</u>

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